

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
27 May 2004 (27.05.2004)

PCT

(10) International Publication Number
WO 2004/043704 A1

(51) International Patent Classification⁷: **B41M 5/26**,
C09D 133/12, 129/04, 4/00, C08K 5/19, C03C 1/725

(74) Agent: **GILL JENNINGS & EVERY**; Broadgate House,
7 Eldon Street, London EC2M 7LH (GB).

(21) International Application Number:
PCT/GB2003/004894

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date:
12 November 2003 (12.11.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0226383.8 12 November 2002 (12.11.2002) GB
0317860.5 30 July 2003 (30.07.2003) GB

(84) Designated States (*regional*): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): **SHERWOOD TECHNOLOGY LIMITED** [GB/GB]; Unit 3, Wheldon Road, Widnes, Cheshire WA8 8FW (GB).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

(72) Inventor; and

(75) Inventor/Applicant (*for US only*): **STUBBS, Brian** [GB/GB]; Sherwood Technology Limited, Heathcoat Building, Nottingham Science & Technology Park, University Boulevard, Nottingham NG7 2QJ (GB).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

copied?
polymerol?

WO 2004/043704 A1

(54) Title: USE OF TRANSITION METAL COMPOUNDS IN IMAGEABLE COATINGS

(57) Abstract: A process for forming an image on a substrate, which comprises coating the substrate with an amine of molybdenum, tungsten or vanadium that changes colour on heating or irradiation as an aqueous dispersion or suspension or as a solution in an organic solvent. Also described is a coated substrate, wherein the coating is a substantially visible light-transparent layer comprising an amine compound of molybdenum, tungsten or vanadium, and a solution of said amine compound and a thermoplastic polymer or a photo-polymerisable monomer.

USE OF TRANSITION METAL COMPOUNDS IN IMAGEABLE COATINGS

Field of the Invention

This invention relates to transition metal compounds and their use in
5 imageable coatings.

Background of the Invention

For many years, heat-sensitive imaging sheets have been used for
copying, thermal printing, thermal recording and thermal labelling. More recently,
the development of scribing lasers has enabled the use of thermally-sensitive
10 imaging materials for the coding and marking of both sheet materials and shaped
objects that may or may not be self-supporting.

Two classes of colour-forming reactants have commonly been used for
thermographic materials, i.e. leuco lactone or spiropyran compounds normally
developed by phenolic compounds, e.g. as described in US-A-3846153, and
15 heavy metal salts of organic acids that can react with ligands to give coloured
complexes, e.g. as described in US-A-2663654. The use of both these types of
compounds depends on effecting a physical separation of the solid components,
through dispersing them in a polymer binder, coating them on a suitable support,
and melting at least one of them to cause colour formation. When coated and
20 dried, dispersions of solid materials, by their nature, result in layers of some
opacity. This is normally acceptable on opaque substrates such as paper, but
limits applications on transparent substrates such as clear Mylar (polyester) film
and transparent packaging films. Examples of such applications are where a film
transmission original is required or, in transparent film packaging applications,
25 where film opacity would obscure sight of the packaging contents or container
surface.

There is therefore a need for transparent, thermally-sensitive imaging
layers for coating on transparent or semi-transparent film supports and reflective
supports such as can-metal. Further, there is a need for transparent laser-
30 sensitive imaging materials that may be coated or printed on shaped or formed
objects such as bottles and other containers for labelling or coding applications.
Naturally, for these applications, the coatings should adhere to the substrate

firmly and be robust, i.e. have good resistance to the types of chemical and physical treatment encountered in the end use environment. In general, organic solvent-based compositions containing solvent-soluble binders give, on drying, tougher, better adhering layers of greater transparency and water-resistance
5 than like water-based compositions.

The use of organic amine molybdates in thermal imaging layers is described in US-A-2910377 (see Example 10) and US-A-3028255 (where the exemplified amines are primary amines). This use is confined to copy paper sheets, and the molybdate is dispersed by prolonged ball-milling in a resinous
10 binder to give a suspension, used for coating. Such a suspension, when coated and dried on a transparent film support, would cause loss of transparency.

US-A-4217409 (see Examples 10 and 12) describes the use of isopropylammonium molybdate in an acidic aqueous solution of polyvinyl alcohol as a coating that, when applied to a substrate, gives a laminar material sensitive
15 to electromagnetic radiation including IR, visible and UV radiation. Polyvinyl alcohol solutions often have poor coating properties towards polyester film and the hazy dried films detach readily. The dried and imaged coating would also be susceptible to physical and chemical damage, most notably chemical damage from water. Isopropylamine is volatile and would cause odour should the
20 material be contacted with aqueous alkali.

US-A-4406839 describes the synthesis of organic solvent-soluble amine molybdates useful as smoke retardants and made from a variety of amines. Examples employ high molecular weight amines such as tridodecylamine.

Amine molybdates, their synthesis and uses, are also described in
25 US-A-2910377, US-A-3028255, US-A-3290245, US-A-4053455, US-A-4153792, US-A-4217292, US-A-4217409, US-A-4226987, US-A-4266051, US-A-4406837, US-A-4406838, US-A-4406839, US-A-4406840, US-A-4410462, US-A-4410463, US-A-4424164, US-A-4425279, US-A-6217797 and US-A-6355277.

Summary of the Invention

30 The present invention is based at least in part on the finding that amine molybdates and analogous compounds, some of which may be new, have properties that render them suitable for imaging. In particular, they are soluble

we disclose
amine
molybdates
- pg 13

in at least some organic solvents, are compatible with film-forming solvent-soluble organic binders, and give solutions that, when coated on an inert substrate such as clear polyester film and dried, form a continuous substantially visible light-transparent layer on the support. Such layers are thermally sensitive and find utility in thermographic materials for imaging by scanning laser or thermal printer, to provide effective marking, without opacification in the non-image areas.

According to one aspect of this invention, a process for forming an image on a substrate, comprises coating the substrate with a solution, in an organic solvent, of an amine compound of molybdenum, tungsten or vanadium, wherein the compound changes colour on heating or irradiation, and heating or irradiating the coating.

A further aspect of the invention is a coated substrate, wherein the amine is a secondary or tertiary alkylamine in which each alkyl group has up to 12 carbon atoms and the amine has up to 24 carbon atoms. Other aspects are solutions of the amine compound and a photopolymerisable monomer or a thermoplast.

The organic solvent solubility properties of the amine molybdates of the invention permit the avoidance of the time-consuming, wasteful and costly milling processes normally involved in the preparation of coating mixtures for known thermally sensitive imaging materials. They also allow thermally sensitive layers of good transparency and gloss to be made on transparent substrates such as Mylar and commercially available packaging films such as polypropylene.

Description of Preferred Embodiments

Preferred compounds for use in the invention are amine molybdates. The term "amine molybdate" (of which an example is ethylamine molybdate) is used herein to describe compounds whose structure may be ill-defined, and which are also sometimes called the corresponding ammonium molybdates (e.g. ethylammonium molybdate), which implies that the compounds are salts. The generic term "amine molybdate" refers to complexes or salts formed on reacting an amine to give an amine molybdate or amine isopolymolybdate. For

reference, see Cotton & Wilkinson; Advanced Inorganic Chemistry 2nd Edition 1967 Chapter 30 Section 30-C-2&3.

Amine molybdates will be described herein, for the purpose of illustration. Such compounds for use in the invention may be formed from amines and molybdate and polymolybdate (VI) acids and their salts and can be thermally activated in a coating, to give an image. Other compounds suitable for use in the invention, including those based on tungsten or vanadium, can be made in similar manner.

More specifically, such compounds are made, for example, using known saturated secondary or tertiary aliphatic dialkyl or trialkyl monoamines having boiling points (at 1 atmosphere pressure) equal to or above 150°C and melting points below about 80°C, and with individual alkyl groups which are different or, preferably, the same, e.g. having from 3 to 12, preferably 5 to 12, more preferably 5 to 10, and most preferably 6 or 7 to 10 carbon atoms. Typically, the compound has a total of 7 to 24 C atoms. Salts of the compounds may also be used, such as amine acetates or chlorides. Representative amines are dipentylamine, tripentylamine, di-n-hexylamine, tri-n-hexylamine, bis(2-ethylhexyl)amine, di-n-octylamine and tri-n-octylamine. It will be understood that one or more amine compounds may be used.

The amine molybdates are made by reacting the amine with a molybdenum compound, e.g. in oxidation state VI, such as molybdenum trioxide, molybdic acid, ammonium dimolybdate, ammonium heptamolybdate, ammonium octamolybdate, sodium molybdate or commercial "molybdic acid" (which comprises primarily one or more ammonium molybdates). A representative and preferred amine molybdate for use in the invention is bis(2-ethylhexyl)amine octamolybdate.

Amine molybdates suitable for use in the invention have one or more of the following properties:

- (i) Individually soluble in at least one organic solvent
- (ii) Transparent or near transparent film-forming properties on specified commercial polymer substrates when applied by coating or printing an organic solvent solution

- (iii) Thermal sensitivity manifested as a colour change of good visual discrimination when a layer comprising the amine molybdate is exposed thermally imagewise by a scanning laser and/or heat block imaged by a thermal printer
- 5 (iv) Compatibility with at least one solvent-soluble binder polymer as indicated by the formation of a near transparent film of a blend
- (v) Preparation using an amine precursor of low volatility, so that there is low risk of a hazard if the amine molybdate layer is exposed to aqueous alkali and the amine is released
- 10 The amine molybdates are soluble in organic solvents, are compatible with film-forming solvent soluble organic binders, and give solutions that, when coated or printed on an inert substrate such as clear Mylar polyester film and dried, form a continuous layer of the amine molybdate that is substantially transparent to visible light. Such layers are thermally sensitive and find utility in
- 15 thermographic materials and on 3D objects for imaging by scanning laser or thermal printer. Clear layers formed by means of the invention may also be useful on opaque substrates because they can impart desirable gloss, as distinct from compositions containing suspended insoluble molybdates that give matt surfaces.
- 20 Imaging elements comprising these amine molybdates may be supported on a flexible sheet substrate, preferably a flexible transparent sheet substrate such as polyester. Alternatively a rigid 3D object substrate may be used such as the external surface of a container. There should be a good adhesive bond between imaging element and substrate. The substrate should be able to
- 25 withstand laser imaging of the element (comprising the amine molybdate) without unacceptable degradation or deformation upon laser or thermal imaging. Preferred substrates are transparent or translucent materials that absorb the IR radiation output of the laser to some extent: otherwise the substrate may act as a heat sink to the laser-exposed areas of the imaging element, reducing layer
- 30 sensitivity. In this respect Mylar polyester film is better than unfilled polypropylene or polyethylene.

The solvent-soluble molybdates used in the invention can be applied from solution and dried to give a near-transparent layer. Film-forming compositions containing these amine molybdates give layers having good adhesion transparency and imagewise thermal sensitivity. Such layers can have filmogenic and transparency properties, e.g. on commercial transparent polymer film supports such as clear polypropylene, providing near-transparent, thermally sensitive sheet or web materials. The solvent-soluble amine molybdates also show good compatibility when blended with specified organic solvent-soluble polymeric binders; these blends can also form useful substantially transparent thermally sensitive layers, to provide thermographic materials.

The invention also provides amine molybdate compositions that, when applied as a solvent coating to commercially available transparent film or supports or otherwise incorporated on or within transparent or semi transparent polymer layers, give direct thermally sensitive imaging media having excellent stability transparency and sensitivity properties for thermal laser imaging or, if appropriate, thermal printing. The coating weight of the dry coating is normally in the range 0.5 to 20 g/m², preferably 1 to 10 g/m².

The invention also provides thermally sensitive imaging materials comprising a layer comprising the amine molybdate, adhering to a substrate or within a substrate which is preferably an optically near transparent or translucent polymeric material. Suitable substrates include paper, laminates and films of the type described above. Another aspect of this invention is thermally imageable materials comprising the amine molybdate and incorporated on a substrate.

Amine molybdates may also be useful in dispersed form in a thermographic layer. Some are readily dispersed in water, and may be used, say, on an opaque substrate like paper to give a matt layer. Thus, depending on the conditions, the amine molybdates may be used for both transparent/glossy materials and also opaque/matt materials.

Thermally imageable materials comprising an amine molybdate in solid solution or dispersion in a molten material comprising a thermoplastic polymer, may be made by cooling the material whilst rolling it flat or forming it into a shape, such as the shape of a container.

Thermally imageable materials comprising an amine molybdate in solution or dispersion in a liquid photopolymerisable composition may be made by photopolymerising the composition.

It will be appreciated by one of ordinary skill in the art that it is possible to incorporate additives of various sorts in the imaging layers, and which might be beneficial in certain circumstances. Such additives include, for example, polymer binders, mild reducing agents to promote thermal printer performance, colorants such as dyes or pigments, antioxidants and other known stabilisers, antiblocking materials such as talc or selected silicas, and materials adsorbent to or reactive with any thermolysis products of laser imaging.

An additive of particular utility, in solution or suspension or in a separate layer, is an electron-donating dye precursor often known as a colour-former. When amine molybdates are incorporated in a layer with such colour-formers and thermally imaged, e.g. using a CO₂ laser, coloured images may be obtained. The colour may correspond to that obtained by the use of common colour developers such as certain phenols. Weak block images may also be obtained, e.g. using a heat sealer at 100-120 C and contact times of 1-10 seconds. Thus the amine molybdate acts as an electron acceptor and colour developer for at least some of these colour-formers. The low melting point of amine molybdates means that they can be fused with colour-formers, if desired.

Protective polymer or other layers on the imaging layer may be useful in some circumstances. For example, such layers may prevent or reduce mechanical or chemical damage to the unexposed or exposed thermally sensitive layers of the invention. Layers comprising mild reducing agents may also be added to promote thermal printer performance. Such layers may also act to reduce emanation of any thermolysis products of laser imaging. Such layers can be applied by known means such as lamination or coating.

As indicated above, an image can be formed by the application of heat. Preferably, heat is applied locally, on irradiation with a laser. Suitable lasers include those emitting at high energy, including Nd-YAG lasers and CO₂ lasers, the latter typically at a wavelength of 10,600 nm. In many cases, it may be desirable to use a low-energy laser, such as a diode laser, typically emitting light

at a wavelength in the range of 800-1500 nm. In certain circumstances, this energy input may be insufficient to cause the desired reaction, and the composition to be irradiated then preferably comprises a suitable absorbent material.

5 IR-absorbent materials are known. In general terms, any suitable such material may be incorporated, for the purposes of this invention, and can be chosen by one of ordinary skill in the art. A particularly preferred IR absorber for use in the invention is a conducting polymer, by which is meant a material that, in the polymerised state, comprises linked monomers (typically rings) that are
10 conjugated and which can therefore allow delocalisation/conduction of positive or negative charge. The conjugation allows an absorption shift that can be controlled such that it applies to the wavelength of irradiation, and which may also depend on the concentration of the polymer.

Examples of monomers that can be conjugated to give suitable conducting
15 polymers are aniline, thiophene, pyrrole, furan and substituted derivatives thereof. Such polymers, in addition to providing the desired means of transferring heat from a low-power laser, have the advantage that they do not readily diffuse out of the coating material. They can also act as the polymer binder. Yet another advantage of such materials is that they can be colourless,
20 even at high loading (up to 5% by weight); this is by contrast to monomeric species that have been used, such as phthalocyanine, which absorb at about 800 nm but give the composition a greenish tinge, even at a loading of 0.1% by weight.

Depending on the components to be irradiated, a black or coloured image
25 may be obtained. The colour may be dependent on the irradiation power; thus, for example, a blue colour may be overpowered to black.

Multi-colour printing may also be achieved, e.g. using different colour-formers (and, if necessary, absorbers) responsive to different irradiation wavelengths. For example, UV, diode and CO₂ lasers may be used to give
30 three-colour printing, by providing appropriate, different colour formers at different/overlapping locations on the substrate.

The initial colour of coating and image achieved on activation is not limited. Theoretically, any initial or final colour (red, blue, green, etc) is achievable and the energy required to develop the image (e.g. 100-140°C/2-4 Watts) can be controlled within a range. Additionally, a step-change of the
5 image colour produced can be controlled with activation (e.g. 150-200°C/3-5 Watts), and so more than one distinct colour is possible from the same coating.

In general, the colour developer can be one or more of a range of water-compatible transition metal complex materials as an amine molybdate.

The colour former can be one or more of a range of established basic
10 dyes such as fluorans, phthalides etc.

The binder can be one or more of a range of water-soluble or amine-stabilised emulsion polymers, for a water-borne dispersion ink, or a solvent-soluble polymer for a solvent-borne dispersion or solution ink. Acrylic polymers can be used in each case.

15 Pigments can be water-dispersible inorganic or organic additives such as calcium carbonate etc.

One or more of a range of additives can be utilised, including surfactants or lubricants such as zinc stearate etc.

The IR-sensitive coating can be applied by a range of methods such as
20 flood coating, flexo/gravure etc.

The IR-sensitive coating can be applied to a range of substrates such as self-adhesive label etc.

A protective layer of a film-forming water-borne top-coat ink can be applied onto the IR-sensitive coating.

25 The IR-absorber can be one or more of a range of water-compatible organic or inorganic materials, for a water-borne dispersion ink, or a solvent-compatible, organic or inorganic material for a solvent-borne dispersion or solution ink (in the latter case, the material is preferably solvent-soluble).

The following Examples illustrate the invention.

30 **Example 1 Bis(2-ethylhexyl)amine octamolybdate**

The following synthesis is adapted from the method given in US-A-4217292 (Example 3) for dodecylammonium octamolybdate.

In a 500 ml flange flask vessel were weighed molybdenum trioxide (15.53 g; Aldrich 99%; 10-20 μm particle size by Fisher sub-sieve sizer), deionised water (300 g) and ammonium chloride (8.6 g) (Aldrich reagent). The mixture was stirred vigorously while bis(2-ethylhexyl) amine (13.03 g; Aldrich) was added dropwise over 10 minutes. The vessel contents were then heated to reflux with stirring and refluxed for 4 hrs. A pale green-blue tarry material formed that part adhered to the vessel walls. On cooling, the reaction mixture to room temperature, the tarry product formed a glass-like solid. The solid was collected by filtration with some manipulative loss, ground and washed successively with deionised water and finally with isopropanol. Finally the pale green-blue product was dried in an oven for 24 hrs at 65°C. Yield was 26.2 g. It was readily soluble in 2-butanone to give a pale-green solution. A trace of white material (perhaps unreacted MoO_3) remained undissolved.

Example 2 Coating Composition Without Polymer Binder

Bis(2-ethylhexyl)amine octamolybdate (10 g) was dissolved in 2-butanone (30 g). The solution was separated from a trace of insoluble white solid impurity to give a solution that can be used as a coating composition of the invention.

Example 3 Thermally Imageable Material

The solution prepared in Example 2 was coated on each of four supports, i.e. opaque white (titanium dioxide-filled) Mylar film, clear Mylar (polyethylene terephthalate) film, domestic aluminium foil, and polypropylene packaging film (UCB). This was done using a wire coating bar, giving a 12 μm on wet film, and dried using warm air to give a thermally imageable material.

Continuous glossy well-bonded films were obtained in each case. The coatings on clear Mylar and polypropylene were transparent and all were non-tacky when cool. The dry coating weights were found to be about 3 g/m². The resulting coated materials were exposed imagewise using a CO₂ scribing laser beam of 0.3 mm diameter at a scan speed of 1000 mm/sec. A distinct grey-black image of alphanumeric characters was obtained when the power was set at 3-4 Watts for Mylar and aluminium foil substrates. The images were less legible at 2 Watts, indicating sub-optimum exposure. With the polypropylene substrate, images were obtained at about 6W.

Example 4 Coating Composition Containing Polymer Binder

A solution of bis(2-ethylhexyl)amine octamolybdate (10 g) was dissolved in 2-butanone (30 g). The solution was separated from a trace of insoluble white solid impurity. 4 g of this solution was mixed with 4 g of a 15% by weight solution of Elvacite 2041 (a methyl methacrylate homopolymer resin grade
5 manufactured by INEOS) binder in 2-butanone to give a coating solution.

Example 5 Thermally Imageable Film

The solution of Example 4 was coated on packaging grade polypropylene film using a wire-wound bar (giving a nominal 12 μm wet film thickness) and
10 dried using warm air to give a transparent coated film. The transparency observed indicates good compatibility of the amine molybdate and the acrylic binder. The dry coating weight was found to be 2.8 g/m². The resulting coated film of the invention had high transparency. It was exposed imagewise using a CO₂ scribing laser beam of 0.3 mm diameter at a scan speed of 1000 mm/sec.
15 A distinct grey-black image of alphanumeric characters was obtained when the power was set at 3-4 Watts. Some lifting of the image was observed at 4 Watts. The image was less legible at 2 Watts, indicating inadequate exposure.

Example 6 Red Thermographic Film

To 0.4g of a 25% by weight solution of bis(2-ethylhexylamine) octamolybdate in 2-butanone was added with thorough mixing 1.0g of a 33.3%
20 by weight solution of Elvacite 2044 also in 2-butanone (Elvacite 2044 is a n-butyl methacrylate-based acrylic resin manufactured by INEOS Acrylics). In this composition was dissolved by agitation 0.1g of a commercial electron-donating colour-former (Pergascript Red I-6B manufactured by Ciba Specialty Chemicals
25 and described as a bisindolyl phthalide compound). The resulting pale yellowish-pink solution was coated on clear Mylar film using a 25 wire bar and dried using warm air. A transparent film resulted.

A pale red image resulted on block imaging the film at 100°C using a heat sealer and a contact time of 10 seconds. A distinct red image resulted from
30 imaging the film using a CO₂ scribing laser beam of 0.3mm diameter at a scan speed of 1000 mm / second and set at 3 Watts power.

Example 7 Water-borne Dispersion Inks

The effect of the presence of an IR absorber in an ink formulation of the invention was determined. Blue and red water-based acrylic-emulsion inks of PVOH-stabilised dispersion (comprising PBI2RN or PRI6B colour former) were
 5 assessed.

A "standard" formulation of the invention was used, comprising the following proportions of components (% w/w):

	Binder	16.0
	Active Pigment	7.0
10	Colour Former	7.0
	Fluid	70.0

Various "active" formulations were used, each containing the IR absorber Baytron P (HC Starck), a conducting polymer. The proportions of IR absorber used were 1.0, 2.5 and 5.0% (w/w). In, for example, formulations comprising
 15 5.0% Baytron P, the composition was:

	Binder	15.2
	Active Pigment	6.7
	Colour Former	6.7
	Fluid	64.4
20	IR Absorber	5.0

The components were selected from:

	Binder	Gohsenol GH-17 polyvinyl alcohol and Texicryl acrylic emulsion;
	Active Pigment	Bis(2-ethylhexylamine)octamolybdate and di(cyclohexylamine)octamolybdate;
25	Colour Former	Pergascript blue I-2RN crystal violet lactone and red I-6B;
	Fluid	water, dilute ammonium hydroxide etc; and
	IR Absorber	Baytron P
30	A 940 nm Rofin Dilas DF060 Diode Laser and K-bar 2.5-coated substrates were used for image forming.	

The results are shown in Table 1. A good image was obtained when Baytron P was present.

Table 1

	Ink Type	IR Absorber	Level (% w/w)	Unimaged	Imaged (940 nm)
5	Standard, blue	-	n/a	Off-white (slight)	No image
	"	-	n/a	"	"
	Active, blue	Baytron P	1.0	"	"
	"	"	1.0	"	Blue Image
	"	"	2.5	"	"
10	"	"	2.5	"	"
	"	"	5.0	"	"
	"	"	5.0	"	"
	Standard, red	-	n/a	White	No image
	"	-	n/a	"	"
15	Active, red	Baytron P	1.0	Off-white (slight)	Red Image
	"	"	1.0	"	"
	"	"	2.5	"	"
	"	"	2.5	"	"
	"	"	5.0	"	"
20	"	"	5.0	"	"

Samples of the blue ink formulations were coated with K-bar 2.5 onto Rafaltac Raflacoat (RC) and Hi-Fi polyester (PE) substrates. The coated substrates were then used for Nd:YAG (1064 nm) laser text imaging. Two formulations comprised Baytron P, two did not. The results are shown in Table 2.

Table 2

Ink Type	IR Absorber at 5.0% (w/w)	Substrate	Unimaged	Imaged (1064 nm)
Standard, blue	-	RC	White	No Image
Active, blue	Baytron P	RC	Off-White (grey)	Blue Text
Standard, blue	-	PE	White	No Image
Active, blue	Baytron P	PE	Off-White (grey)	Blue Text

The coatings in which Baytron P was absent gave no image or very faint text. PE-based samples gave better results than RC-based ones. Where images were obtained (i.e. when Baytron P was present), they were sharp and well-defined.

Example 8 Solvent-borne Dispersion Inks

Experiments similar to those of Example 7 were performed except that solvent-based inks were used.

The "standard" formulation was composed of (% w/w):

Binder	21.7
Active Pigment	9.6
Colour Former	9.6
Fluid	59.1

The "active" formulations contained the IR absorber Iriodin LS820 (Merck). The composition of the 5% (w/w) "active" formulation was:

Binder	19.5
Active Pigment	8.6
Colour Former	8.6
Fluid	53.3
IR Absorber	10.0

The results are shown in Table 3. Again, the presence of an IR absorber allowed image formation to occur.

Table 3

	Ink Type	Additive Type	Level % w/w	Unimaged	Imaged (940 nm)
5	Standard, blue	-	n/a	Off-white (slight green)	No image
	"	-	n/a	"	"
	"	-	n/a	"	"
	Active, blue	Iriodin LS820	5.0	Off-white (grey/green)	Blue Image
	"	"	5.0	"	"
10	"	"	5.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"
15	Standard, red	-	n/a	Off-white (pink)	No image
	"	-	n/a	"	"
	"	-	n/a	"	"
	Active, red	Iriodin LS820	5.0	Off-white (grey/pink)	Red Image
	"	"	5.0	"	"
20	"	"	10.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"
	"	"	10.0	"	"

Example 9 Solvent-borne Solution Inks

Experiments similar to those of Examples 7 and 8 were performed except
 25 that solvent-based inks in acrylic methyl ethyl ketone (MEK) solution were
 assessed.

The ink formulations comprised 0.1% (w/w) Pro-Jet 900NP (Avecia), an
 IR absorber. Some formulations additionally comprised a UV absorber. In some
 cases, colour former (CF) was present at a ratio of 1:1 or 1:2 with the active
 30 pigment (CD). A typical formulation was composed of (% w/w):

Binder	23.7
Active Pigment	4.6

16

Colour Former	4.6
UV Absorber	6.7
Fluid	60.3
IR Absorber	0.1

5 The results are shown in Table 4. Generally, good images was obtained.

Table 4

	Ink Type	Unimaged	Imaged (940 nm)
	no CF	Clear (green)	Dark Image
	"	"	"
10	"	"	Incomplete
	Blue, CD:CF=1:1	Clear (grey/green)	Dark Image
	"	"	"
	"	"	Incomplete
	Blue, CD:CF=1:2	"	Dark Image
15	"	"	"
	"	"	Incomplete
	Red, CD:CF=1:1	Clear (grey/brown)	Dark Image
	"	Clear (green/grey)	"
	"	"	Incomplete
20	Red, CD:CF=1:1	Clear (pink/brown)	Dark Image
	"	Clear (brown/grey)	"
	"	"	Incomplete

CLAIMS

1. A process for forming an image on a substrate, which comprises coating the substrate with a solution, in an organic solvent, of an amine compound of molybdenum, tungsten or vanadium that changes colour on heating or
5 irradiation, and heating or irradiating the coating.
2. A process for forming an image on a substrate, which comprises coating the substrate with an aqueous dispersion or suspension, of an amine compound of molybdenum, tungsten or vanadium that changes colour on heating or irradiation, and heating or irradiating the coating.
- 10 3. A process according to claim 1 or claim 2, wherein the amine compound is of molybdenum (VI).
4. A process according to claim 3, wherein the amine is a secondary or tertiary alkylamine in which each alkyl group has up to 12 carbon atoms and the amine has up to 24 carbon atoms.
- 15 5. A process according to any preceding claim, wherein the coating also comprises the use of an organic polymer binder.
6. A process according to any preceding claim, wherein the coating also comprises the use of a colour-former, e.g. a substantially colourless electron-donating dye precursor.
- 20 7. A process according to any preceding claim, wherein the substrate is substantially transparent to visible light.
8. A process according to any preceding claim, wherein the coating is irradiated using a laser.
9. A process according to claim 8, wherein the laser light has a wavelength
25 of 800-1500 nm.
10. A process according to claim 8 or claim 9, wherein the coating additionally comprises an IR absorber that absorbs laser radiation.
11. A coated substrate, wherein the coating is a substantially visible light-transparent layer comprising an amine compound as defined in any of claims 1
30 to 4.
12. A coated substrate according to claim 11, wherein the coating comprises also an additional component as defined in claim 5 or claim 6.

13. A coated substrate according to claim 11 or claim 12, wherein the substrate is also substantially transparent to visible light.
14. A coated substrate according to any of claims 11 to 13, including also an image formed therein by heating or irradiation.
- 5 15. A coated substrate according to any of claims 11 to 14, wherein the coating additionally comprises an IR absorber that absorbs laser radiation.
16. A solution of an amine compound as defined in any of claims 1 to 4 and of a thermoplastic polymer.
17. A solution of an amine compound as defined in any of claims 1 to 4 and
- 10 of a photopolymerisable monomer.
18. A solution according to claim 17, which is fluid at or below 150°C.

INTERNATIONAL SEARCH REPORT

Internat .pplication No
PCT/GB 03/04894

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 B41M5/26 C09D133/12 C09D129/04 C09D4/00 C08K5/19
G03C1/725

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 B41M C09D C08K G03C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 237 212 A (INOUE EIICHI ET AL) 2 December 1980 (1980-12-02) column 4, line 9 -column 5, line 14 examples 12,20 ---	1,3-18
X	GB 1 565 469 A (DAINIPPON PRINTING CO LTD) 23 April 1980 (1980-04-23) page 3, line 23 - line 111 example 8 ---	1,3-18
X	US 3 661 574 A (BREDOUX FRANCOIS-JEAN-MARIE ET AL) 9 May 1972 (1972-05-09) the whole document ---	1,3-18
	--- -/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *g* document member of the same patent family

Date of the actual completion of the international search

30 March 2004

Date of mailing of the international search report

06/04/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Markham, R

INTERNATIONAL SEARCH REPORT

Internat .pplication No

PCT/GB 03/04894

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 009, no. 187 (M-401), 3 August 1985 (1985-08-03) & JP 60 054888 A (DAINIPPON INSATSU KK), 29 March 1985 (1985-03-29) abstract	1,3-18
X	US 4 217 409 A (INOUE EIICHI ET AL) 12 August 1980 (1980-08-12) cited in the application column 2, line 29 -column 5, line 17 column 6, line 5 - line 64 examples 12,20	1,3-18
X	US 2 910 377 A (RICHARD OWEN) 27 October 1959 (1959-10-27) cited in the application example 10	2-15
X	US 3 028 255 A (RICHARD OWEN) 3 April 1962 (1962-04-03) cited in the application the whole document	2-15
A	PATENT ABSTRACTS OF JAPAN vol. 017, no. 664 (M-1523), 8 December 1993 (1993-12-08) & JP 05 221121 A (MITSUI PETROCHEM IND LTD), 31 August 1993 (1993-08-31) abstract	1,2,11, 16,17

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat Application No

PCT/GB 03/04894

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 4237212	A	02-12-1980	JP 1058311 C	31-07-1981
			JP 53023630 A	04-03-1978
			JP 55051173 B	23-12-1980
			DE 2736098 A1	23-02-1978
			GB 1565469 A	23-04-1980
GB 1565469	A	23-04-1980	JP 994047 C	22-04-1980
			JP 53139523 A	05-12-1978
			JP 54025413 B	28-08-1979
			JP 1058311 C	31-07-1981
			JP 53023630 A	04-03-1978
			JP 55051173 B	23-12-1980
			DE 2736098 A1	23-02-1978
			US 4217409 A	12-08-1980
			US 4237212 A	02-12-1980
US 3661574	A	09-05-1972	BE 743234 A	28-05-1970
			FR 1595319 A	08-06-1970
			GB 1270159 A	12-04-1972
JP 60054888	A	29-03-1985	JP 1760130 C	20-05-1993
			JP 4050199 B	13-08-1992
US 4217409	A	12-08-1980	JP 994047 C	22-04-1980
			JP 53139523 A	05-12-1978
			JP 54025413 B	28-08-1979
			DE 2736098 A1	23-02-1978
			GB 1565469 A	23-04-1980
US 2910377	A	27-10-1959	BE 558766 A	
			CH 364800 A	15-10-1962
			DE 1193971 B	03-06-1965
			DE 1232991 B	26-01-1967
			FR 1200724 A	23-12-1959
			GB 866076 A	26-04-1961
			NL 106780 C	
			NL 218538 A	
			US 3028255 A	03-04-1962
US 3028255	A	03-04-1962	US 2910377 A	27-10-1959
			BE 558766 A	
			CH 364800 A	15-10-1962
			DE 1193971 B	03-06-1965
			DE 1232991 B	26-01-1967
			FR 1200724 A	23-12-1959
			GB 866076 A	26-04-1961
			NL 106780 C	
			NL 218538 A	
JP 05221121	A	31-08-1993	JP 3174792 B2	11-06-2001

Form PCT/ISA/210 (patent family annex) (January 2004)